

## DIRECTIVE NO. 203 – 2023

### ALL BREEDS

The Rules Governing Horse Racing in Alberta are AMENDED as follows:

Chapter 2: Licensing Rules \ Part 1 Racing Officials: Designation and Licensing, Division 1 **Director, Regulatory & Supervisor of Racing, Assistant Director, Regulatory & Supervisor of Security and Investigations**, Judges/Stewards, **Security Investigators** and Official Veterinarian

The following rule is amended to read:

#### 4 g **Director, Regulatory & Supervisor of Racing** Appointment

- (1) As soon as practicable after January 1 each year, \*Horse Racing Alberta must appoint an individual as **\*Director, Regulatory & Supervisor of Racing**.
- (2) A person may not be appointed as **\*Director, Regulatory & Supervisor of Racing** unless that person holds or is eligible to hold a licence as a judge or steward.
- (3) The appointment of **\*Director, Regulatory & Supervisor of Racing** expires on December 31 of the calendar year of appointment, unless \*Horse Racing Alberta otherwise directs.

#### 5 g **Director, Regulatory & Supervisor of Racing** Responsibilities

- (1) The **\*Director, Regulatory & Supervisor of Racing** is responsible for
  - (a) the supervision of the \*judges/stewards board at \*race meetings, and
  - (b) any other duties assigned by \*Horse Racing Alberta.
- (2) In addition to the other authority given to the **\*Director, Regulatory & Supervisor of Racing** under these and any other rules, the **Director** has the same authority as a judge, steward and judges/stewards board.

... /2

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### **6 g Assistant Director, Regulatory & Supervisor of Security and Investigations Appointment**

- (1) As soon as practicable after January 1 each year, \*Horse Racing Alberta must appoint an individual as **\*Assistant Director, Regulatory & Supervisor of Security and Investigations**.
- (2) The appointment of **\*Assistant Director, Regulatory & Supervisor of Security and Investigations** expires on December 31 of the calendar year of appointment, unless \*Horse Racing Alberta otherwise directs.

### **7 g Assistant Director, Regulatory & Supervisor of Security and Investigations responsibilities**

- (1) The **\*Assistant Director, Regulatory & Supervisor of Security and Investigations** is responsible for
  - (a) the supervision of the **\*security investigators** at \*race meetings, and
  - (b) any other duties assigned by \*Horse Racing Alberta and
  - (c) any duties assigned by the **\*Director, Regulatory & Supervisor of Racing**.
- (2) In addition to the other authority given to the **\*Assistant Director, Regulatory & Supervisor of Security and Investigations** under these and any other rules, the **\*Assistant Director, Regulatory & Supervisor of Security and Investigations** has the same authority as a judge, steward and judges/stewards board.

### **8 g Licensing of Judges/Stewards**

As soon as practicable after January 1 each year, \*Horse Racing Alberta must grant a licence to a sufficient number of individuals as a judge or steward, or as a judge and steward, and may, in its discretion, suspend, revoke or reinstate the licence.

.... /3

### **9 g Judges/Stewards Boards**

- (1) As circumstances require, \*Horse Racing Alberta must establish one or more
  - (a) \*judges boards, and
  - (b) \*stewards board
- (2) A \*judges board or \*stewards board constituted under subsection (1) is disestablished on December 31 of the calendar year for which it was constituted, unless \*Horse Racing Alberta otherwise directs.

### **10 g Judges/Stewards Board responsibilities**

- (1) A \*judges/stewards board
  - (a) represents \*Horse Racing Alberta at \*race meetings;
  - (b) on behalf of \*Horse Racing Alberta, may grant or deny applications for a licence, subject to any conditions or limitations imposed by these or any other rules or by \*Horse Racing Alberta;
  - (c) must report to \*Horse Racing Alberta
    - (i) \*violations of the \*Act, violations of these or \*other rules, and violations of the conditions of any licence, and
    - (ii) the action taken as a result of the violation;
  - (d) must provide to \*Horse Racing Alberta any other report it requires;
  - (e) may provide any further report to \*Horse Racing Alberta the \*judges/stewards board considers necessary in the circumstances.
- (2) In addition to any other function or responsibility given to them by these and other rules, a \*judges/stewards board is responsible for
  - (a) regulating and governing

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- (i) the conduct of all horse racing, including entries and scratches from a \*race;
  - (ii) the conduct of other \*racing officials at or associated with a race meeting;
  - (iii) the conduct of \*racing participants at or associated with a race meeting;
  - (iv) the conduct of all other persons employed or engaged to carry out functions at or associated with a race meeting;
- (b) exercising jurisdiction in a fair and impartial manner;
- (c) enforcing track rules and conditions.

#### **11 g Judges/Stewards board decision making**

- (1) Questions to be decided by a \*judges/stewards board are to be decided by a majority vote.
- (2) If there is no majority, the most senior \*judge/steward or the **\*Director, Regulatory & Supervisor of Racing** , as the **Director** determines, must give a casting vote.
- (3) If a \*judges/stewards board delegates its authority to one member of the board, subsections (1) and (2) do not apply.

#### **12 g Specific authority of a judges/stewards board**

- (1) A \*judges/stewards board may, for any reason it considers sufficient,
  - (a) refuse the declaration or entry of a horse for a \*horse race;
  - (b) substitute a jockey or driver they select on a horse;
  - (c) temporarily place a horse in the charge of a \*trainer they select;
  - (d) excuse a horse from starting in a horse race;

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(e) after consultation with a \*licensed operator, horsemen's representatives, jockey or driver representatives, and the \*official veterinarian, cancel or postpone a \*race card;

(f) fill any temporary vacancy of a \*racing official;

g) issue any order, direction or ruling considered necessary.

(2) In matters within their jurisdiction, an order, direction, or ruling issued by a \*judges/stewards board takes precedence over an order or direction issued by or on behalf of a licensed operator.

### **13 g Licensing of Security Investigators**

As soon as practicable after January 1 each year, \*Horse Racing Alberta must grant a licence to a sufficient number of individuals as a **\*security investigator**, and may, in its discretion, suspend, revoke or reinstate the licence.

### **14 g Security Investigators responsibilities**

(1) A \*security investigator

(a) represents \*Horse Racing Alberta at \*race meetings;

(b) must report to \*Horse Racing Alberta **\*Assistant Director, Regulatory & Supervisor of Security and Investigations**

(i) \*violations of the \*Act, violations of these or \*other rules, and violations of the conditions of any licence, and

(ii) the action taken as a result of the violation;

(c) must provide to \*Horse Racing Alberta any other report it requires;

(d) may provide any further report to \*Horse Racing Alberta the **\*security investigator** considers necessary in the circumstances.

... /6

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- (2) In addition to any other function or responsibility given to them by the **\*Director, Regulatory & Supervisor of Racing, \*Assistant Director, Regulatory & Supervisor of Security and Investigations**, \*judges or stewards, these and other rules, a **\*security investigator** is responsible for
- (a) Walking the barn area several times a day, looking for any activities that are against the rules of \*Horse Racing Alberta and the local racetrack or opposing the best interests of horse racing.
  - (b) Performing barn searches and inspections as directed.
  - (c) Being attentive to all complaints and concerns of horsemen and horse women.
  - (d) Being aware of current stabling arrangements.
  - (e) Conducting surveillance of the paddock and test barn area during race days.
  - (f) Being in constant communication with racetrack operation security.
  - (g) Look at all license applications and perform necessary checks where required.
  - (h) Ensure all personnel entering the restricted areas of the racetrack have a current \*Horse Racing Albert licence or have been signed in by an \*Horse Racing Alberta licensee.
  - (i) Drug test all jockeys, valets, drivers and gate crew before starting a new race season and perform random drug testing on the former licensees throughout race meets.
  - (j) Perform random drug/alcohol testing on all racing participants on a monthly basis.
  - (k) Perform drug/alcohol tests based on cause.
  - (l) Enter all drug/alcohol test data into computers.

.../7

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- (m) Order all necessary supplies for drug/alcohol testing.
- (n) Deliver all samples to the lab and follow up on results.
- (o) Oversee all aspects of breathalyzer testing.
- (p) Keep a log on daily activities and report to **\*Assistant Director, Regulatory & Supervisor of Security and Investigations.**
- (q) Write a monthly report about your daily activities to the **\*Assistant Director, Regulatory & Supervisor of Security and Investigations.**
- (r) Send all drug testing documentation to the **\*Assistant Director, Regulatory & Supervisor of Security and Investigations.**

#### **15 g Inspection, searches and seizure authority**

The **\*Director, Regulatory & Supervisor of Racing, \*Assistant Director, Regulatory & Supervisor of Security and Investigations, \*security investigators**, and each judge and steward is hereby designated as a **\*racing official** who may

- (a) carry out inspections and other functions and duties under section 16(1) of the **\*Act**;
- (b) carry out searches and seizures under section 17(1) of the **\*Act**
- (c) conduct investigations and hearings under section 18(1) of the **\*Act**.

Dated at the City of Edmonton  
in the Province of Alberta  
this 20 day of April 2023.



Kent Verlik, Chief Executive Officer  
HORSE RACING ALBERTA

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